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Pat nt 2828

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Phoenix, Arizona

<b>Applicant:</b>	Hoki Kwon.	<b>Group:</b>	2881.
<b>Serial No.:</b>	10/078474	<b>Examiner:</b>	t.b.d.
<b>Filed:</b>	2-21-2002	<b>Atty Docket No.:</b>	V637 02769 US
<b>For:</b>	LONG WAVELENGTH VCSEL GROWN BY MOCVD USING ALPSB/GAPSB AS BOTTOM MIRROR AND GAAS/AL(GA) AS TOP MIRROR		

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF  
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The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56 (37 C.F.R. § 1.97(h)), or that no other material information exists.

The filing of this Information Disclosure Statement is not to be construed as a representation that the references are prior art within the meaning of 35 U.S.C. §§ 102 or 103. Further, any explanation, if provided, is not to be construed as a representation that the references have been thoroughly reviewed. In particular, no representation as to the relevance of any portion of any reference is intended.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

2. Form PTO-1449, List of Prior Art Cited by Applicant, citing 1 U.S. patent, 0 Foreign patents and 10 publications.


3. Attached to this Information Disclosure Statement is a copy of the International Search Report in the corresponding International Application, along with the cited references, for consideration by the U.S. Patent and Trademark Office. Copies of the references listed in the International Search Report that have not been provided are believed to define the general state of the art and are not considered to be of particular relevance.

4. The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor(s) and the information in the attorney's file.

5. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, in accordance with the provisions of 37 C.F.R. §1.97(b).

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By:  9/22/03  
Andrew A. Abeyta  
Reg. No. 39,582  
Attorney for Applicant

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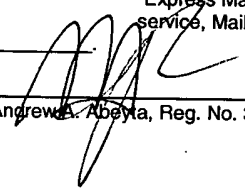
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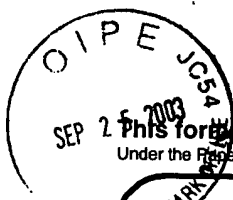
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Approved for use 04-30-2003. OMB 0651-0031

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Substitute for form 1449A-PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(USE AS MANY SHEETS AS NECESSARY)

Sheet 1 Of 2

### COMPLETE IF KNOWN

Application Number	10/078,474
Filing Date	February 21, 2002
First Named Inventor	Hoki Kwon
Art Unit	2881
Examiner Name	t.b.d.
Attorney Docket Number	V637 02769 US

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		US 6,046,065		4-4-2000	Goldstein et al.	
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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Of

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<b>Application Number</b>	10/078,474
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10/078,474

**Filing Date**

February 21, 2002

**First Named Inventor**

**Hoki Kwon**

### Group Art Unit

2881

Examiner Name

t.b.d.

Attorney Docket Number

V637 02769 US

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Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

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International Search Report, dated 08-09-2003, relative to PCT application No. PCT/US 03/05368, the foreign equivalent to the instant U.S. application 10/078474.

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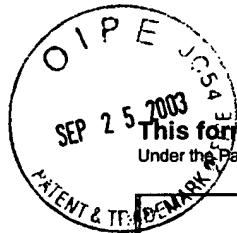
SHIMOMURA, H., et al., "High-reflectance AlPSb/GaPSb distributed Bragg reflector mirrors on InP grown by gas-source molecular beam epitaxy", article, Electronics Letters, Vol 30, No 4, Feb 17, 1994, pgs 314-5.

STARCK, C., "Fabrication of 1.55  $\mu\text{m}$  oxidized VCSELs with top metamorphic GaAs/GaAlAs and bottom InP/InGaAsP Bragg reflectors", article, IEEE 10<sup>th</sup> International Conference on Indium Phosphide and Related Materials, Tsukuba, Japan, May 11-5, 1998, pgs 369-72.

YUEN W. et al. "High-Performance 1.6 Micrometers Single-Epitaxy Top-Emitting VCSEL" Electronics Letters, IEE Stevenage, GB, vol. 36, no. 13, June 22, 2000 whole document.

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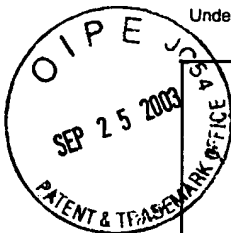
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Docket No: V637 02769 US

Serial No. 10/078,474

Filed 02-21-2002

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